



Risk, Audit and Performance Committee

Date of Meeting	26.08.2020
Report Title	Review of Board Assurance and Escalation Framework
Report Number	HSCP 20.026
Lead Officer	Alex Stephen, Chief Finance Officer
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Consultation Checklist Completed	Yes
Appendices	Board Assurance and Escalation Framework

1. Purpose of the Report

- 1.1. To present a reviewed version of the IJB’s Board Assurance and Escalation Framework for approval.

2. Recommendations

- 2.1. It is recommended that the Committee:
- (a) Approve the revised Board Assurance and Escalation Framework as attached in the appendix to this report.
 - (b) Note that the Framework will be reviewed by the Committee on an annual basis.

3. Summary of Key Information

Board Assurance and Escalation Framework (BAEF)

- 3.1. In order to fulfil its remit, the Integration Joint Board (IJB) needs to be able to demonstrate an effective governance process whereby it can be assured that key risks to the achievement of integration objectives are appropriately identified, communicated and addressed.



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- 3.2.** The BAEF describes the regulatory framework of the IJB to support its vision values and principles, within which the RAP committee will work. Fundamental to the framework are the IJB's strategic priorities and the appetite for risk that the Board has across these priorities.
- 3.3.** It presents and populates a model where individuals, groups and committees, plans, reports, and reporting processes are mapped at different organisational levels, against two broad assurance requirements: compliance and transformation.
- 3.4.** A key element of the assurance framework is the risk management system, whose outputs (i.e. strategic and corporate risk registers, and other reports) contribute significantly to board assurance on key risks to objectives. The appendices illustrate the landscape in which the IJB will operate:
- The committee structure and terms of reference.
 - The risk assessment system.
 - The risk escalation process.
 - The clinical and care governance framework.
 - The IJB's cycle of business.
- 3.5.** The Risk, Audit and Performance committee performs the key role of reviewing and reporting on the effectiveness of the governance structures in place and on the quality of the assurances the Board receives.
- 3.6.** The BAEF was formally approved by the IJB at its meeting in March 2016. The Risk, Audit and Performance Committee last reviewed the BAEF at its meeting on 12th February 2019. A review of the BAEF has been undertaken and the revised version is attached as Appendix A to this report.
- 3.7.** Largely, the content of the BAEF remains the same after the revision. Key changes include:
- Changes made to governance arrangements and reporting as approved by the IJB as part of its Scheme of Governance processes (i.e. changes to committee remits/names etc)
 - Implementation of recommendations arising from audit undertaken by Aberdeen City Council's Internal Audit function on the Board's risk management processes
 - General housekeeping changes



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4. Implications for IJB

- 4.1. **Equalities** – there are no direct implications arising directly as a result of this report.
- 4.2. **Fairer Scotland Duty** – there are no direct implications arising directly as a result of this report.
- 4.3. **Financial** – there are no direct implications arising directly as a result of this report.
- 4.4. **Workforce** - there are no direct implications arising directly as a result of this report.
- 4.5. **Legal** – there are no direct legal implications arising directly as a result of this report.
- 4.6. **Other** - there are no direct implications arising directly as a result of this report.

5. Links to ACHSCP Strategic Plan

- 5.1. The Strategic Plan sets out the aims, commitments, and priorities of the Partnership, in alignment with Community Planning Aberdeen's Local Outcome Improvement Plan, NHS Grampian's Clinical Strategy and Aberdeen City Council's Local Housing Strategy. Since its inception, the Aberdeen City Health & Social Care Partnership and its governance body, the Integration Joint Board, have been progressing integration of the health and social care services delegated from our partners, Aberdeen City Council and NHS Grampian. Part of the Governance around the IJB is the development and revision of the BAEF.

6. Management of Risk



- 6.1. **Identified risks(s):** Reputational Damage.
- 6.2. **Link to risks on strategic or operational risk register:** The development and revision of the BAEF will help to mitigate all of the risks on the IJB's Strategic Risk Register, however the main risk that it will help mitigate is "There is a risk of reputational damage to the IJB and its partner organisations resulting from complexity of function, delegation and delivery of services across health and social care"



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6.3. How might the content of this report impact or mitigate these risks:

This report helps to mitigate the risks as it commits to an annual review of the BAEF to ensure it is updated appropriately. Further, the information provided in the BAEF helps to mitigate the impact of a number of risks in the strategic risk register, by providing the necessary assurance and escalation processes.

Approvals	
	Sandra Macleod (Chief Officer)
	Alex Stephen (Chief Finance Officer)